



# FINC20019 Money and Capital Market Analysis

## Term 2 - 2019

Profile information current as at 30/04/2024 02:19 am

All details in this unit profile for FINC20019 have been officially approved by CQUniversity and represent a learning partnership between the University and you (our student). The information will not be changed unless absolutely necessary and any change will be clearly indicated by an approved correction included in the profile.

## General Information

### Overview

This unit covers the operations and structure of the modern financial system. You will examine and evaluate the opportunities and risks of the financial markets for investors and corporations. You will also research the impact of contemporary issues in the money and capital markets.

### Details

Career Level: *Postgraduate*

Unit Level: *Level 9*

Credit Points: 6

Student Contribution Band: 10

Fraction of Full-Time Student Load: 0.125

### Pre-requisites or Co-requisites

There are no requisites for this unit.

Important note: Students enrolled in a subsequent unit who failed their pre-requisite unit, should drop the subsequent unit before the census date or within 10 working days of Fail grade notification. Students who do not drop the unit in this timeframe cannot later drop the unit without academic and financial liability. See details in the [Assessment Policy and Procedure \(Higher Education Coursework\)](#).

### Offerings For Term 2 - 2019

- Online

### Attendance Requirements

All on-campus students are expected to attend scheduled classes - in some units, these classes are identified as a mandatory (pass/fail) component and attendance is compulsory. International students, on a student visa, must maintain a full time study load and meet both attendance and academic progress requirements in each study period (satisfactory attendance for International students is defined as maintaining at least an 80% attendance record).

### Website

[This unit has a website, within the Moodle system, which is available two weeks before the start of term. It is important that you visit your Moodle site throughout the term. Please visit Moodle for more information.](#)

## Class and Assessment Overview

### Recommended Student Time Commitment

Each 6-credit Postgraduate unit at CQUniversity requires an overall time commitment of an average of 12.5 hours of study per week, making a total of 150 hours for the unit.

### Class Timetable

#### [Regional Campuses](#)

Bundaberg, Cairns, Emerald, Gladstone, Mackay, Rockhampton, Townsville

#### [Metropolitan Campuses](#)

Adelaide, Brisbane, Melbourne, Perth, Sydney

### Assessment Overview

#### 1. **On-campus Activity**

Weighting: 20%

#### 2. **Presentation**

Weighting: 40%

#### 3. **Research Assignment**

Weighting: 40%

### Assessment Grading

This is a graded unit: your overall grade will be calculated from the marks or grades for each assessment task, based on the relative weightings shown in the table above. You must obtain an overall mark for the unit of at least 50%, or an overall grade of 'pass' in order to pass the unit. If any 'pass/fail' tasks are shown in the table above they must also be completed successfully ('pass' grade). You must also meet any minimum mark requirements specified for a particular assessment task, as detailed in the 'assessment task' section (note that in some instances, the minimum mark for a task may be greater than 50%). Consult the [University's Grades and Results Policy](#) for more details of interim results and final grades.

## CQUniversity Policies

**All University policies are available on the [CQUniversity Policy site](#).**

You may wish to view these policies:

- Grades and Results Policy
- Assessment Policy and Procedure (Higher Education Coursework)
- Review of Grade Procedure
- Student Academic Integrity Policy and Procedure
- Monitoring Academic Progress (MAP) Policy and Procedure – Domestic Students
- Monitoring Academic Progress (MAP) Policy and Procedure – International Students
- Student Refund and Credit Balance Policy and Procedure
- Student Feedback – Compliments and Complaints Policy and Procedure
- Information and Communications Technology Acceptable Use Policy and Procedure

This list is not an exhaustive list of all University policies. The full list of University policies are available on the [CQUniversity Policy site](#).

## Previous Student Feedback

### Feedback, Recommendations and Responses

Every unit is reviewed for enhancement each year. At the most recent review, the following staff and student feedback items were identified and recommendations were made.

#### Feedback from Evaluation

**Feedback**

Need to put more information in assignment resources

**Recommendation**

Assignment Resources will be reviewed and more content added.

#### Feedback from Evaluation

**Feedback**

Timing of webinar too late

**Recommendation**

Earlier times will be scheduled.

## Unit Learning Outcomes

**On successful completion of this unit, you will be able to:**

1. Examine the operations and structure of the financial system
2. Evaluate the opportunities and risks inherent in financial markets for the investor and the corporation using basic financial mathematics
3. Research contemporary issues impacting on investment decisions and the sustainability of financial markets.

nil

## Alignment of Learning Outcomes, Assessment and Graduate Attributes



### Alignment of Assessment Tasks to Learning Outcomes

Assessment Tasks	Learning Outcomes		
	1	2	3
1 - On-campus Activity - 20%	•	•	•
2 - Research Assignment - 40%	•	•	•
3 - Presentation - 40%	•	•	•

### Alignment of Graduate Attributes to Learning Outcomes

Graduate Attributes	Learning Outcomes		
	1	2	3

Graduate Attributes	Learning Outcomes		
	1	2	3
1 - Knowledge	○	○	○
2 - Communication	○	○	○
3 - Cognitive, technical and creative skills		○	
4 - Research			
5 - Self-management	○	○	○
6 - Ethical and Professional Responsibility			
7 - Leadership			
8 - Aboriginal and Torres Strait Islander Cultures			

## Alignment of Assessment Tasks to Graduate Attributes

Assessment Tasks	Graduate Attributes							
	1	2	3	4	5	6	7	8
1 - On-campus Activity - 20%	○	○			○			
2 - Research Assignment - 40%	○	○			○			
3 - Presentation - 40%	○	○			○			

## Textbooks and Resources

### Textbooks

FINC20019

#### Prescribed

#### Financial Markets, Institutions and Money

4th Edition (2019)

Authors: David S. Kidwell, Mark Brimble, Paul Docherty, Paul Mazzola, Anup Basu

John Wiley & Sons Australia Ltd

Milton, QLD, Australia

ISBN: 9780730363521, 9780730357506

Binding: eBook

#### Additional Textbook Information

Financial Markets, Institutions and Money, 4th Edition

**David S. Kidwell, Mark Brimble, Paul Docherty, Paul Mazzola, Anup Basu** ISBN: 9780730363521, 9780730357506 This is E-book link: <http://www.wileydirect.com.au/buy/financial-markets-institutions-and-money-4th-edition/> The paperback can be purchased at the CQUni Bookshop here: <http://bookshop.cqu.edu.au> (search on the Unit code) The paperback text includes a copy of the eBook as well.





KIDWELL | BRIMBLE | DOCHERTY | MAZZOLA | BASU

# FINANCIAL MARKETS, INSTITUTIONS AND MONEY

FOURTH EDITION



## IT Resources

**You will need access to the following IT resources:**

- CQUniversity Student Email
- Internet
- Unit Website (Moodle)

## Referencing Style

All submissions for this unit must use the referencing style: [American Psychological Association 6th Edition \(APA 6th edition\)](#)

For further information, see the Assessment Tasks.

## Teaching Contacts

**Omar Aziz** Unit Coordinator  
[o.aziz@cqu.edu.au](mailto:o.aziz@cqu.edu.au)

## Schedule

### Week 1 - 15 Jul 2019

Module/Topic	Chapter	Events and Submissions/Topic
Overview of Modern Financial System	Chapters 1 and 2	Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

### Week 2 - 22 Jul 2019

Module/Topic	Chapter	Events and Submissions/Topic
Monetary Authorities	Chapters 3 and 4	Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

### Week 3 - 29 Jul 2019

Module/Topic	Chapter	Events and Submissions/Topic
RBA and Interest Rates	Chapters 4, 6 and 7	Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

### Week 4 - 05 Aug 2019

Module/Topic	Chapter	Events and Submissions/Topic
Financial Mathematics for Money and Capital Markets	Chapter 5	Online Tutorial Activities from 10am Thursday to 10pm Saturday 10 Min Presentations -Refer Schedule of Allocated Topics Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

### Week 5 - 12 Aug 2019

Module/Topic	Chapter	Events and Submissions/Topic
Money and Capital Markets	Chapters 8 and 9	10 Min Presentations -Refer Schedule of Allocated Topics Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

### Vacation Week - 19 Aug 2019

Module/Topic	Chapter	Events and Submissions/Topic
Term Break		

### Week 6 - 26 Aug 2019

Module/Topic	Chapter	Events and Submissions/Topic
Equity and Derivatives	Chapters 10 and 11	10 Min Presentations -Refer Schedule of Allocated Topics Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

### Week 7 - 02 Sep 2019

Module/Topic	Chapter	Events and Submissions/Topic
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Foreign Exchange Market: Structure and Operations	Chapter 12	10 Min Presentations -Refer Schedule of Allocated Topics Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar
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**Week 8 - 09 Sep 2019**

Module/Topic	Chapter	Events and Submissions/Topic
Commercial and Non-financial Institutions	Chapters 14 and 15	Online Tutorial Activities from 10 am Thursday to 10 pm Saturday 10 Min Presentations -Refer Schedule of Allocated Topics Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

**Week 9 - 16 Sep 2019**

Module/Topic	Chapter	Events and Submissions/Topic
Investment Banking and Venture Capital	Chapter 18	10 Min Presentations -Refer Schedule of Allocated Topics Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar  <b>RESEARCH REPORT: FINANCIAL MARKETS</b> Due: Week 9 Friday (20 Sept 2019) 11:45 pm AEST

**Week 10 - 23 Sep 2019**

Module/Topic	Chapter	Events and Submissions/Topic
International Banking	Chapter 16	10 Min Presentations -Refer Schedule of Allocated Topics Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

**Week 11 - 30 Sep 2019**

Module/Topic	Chapter	Events and Submissions/Topic
Insurance, Investment Companies and Superannuation Funds	Chapter 17	Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

**Week 12 - 07 Oct 2019**

Module/Topic	Chapter	Events and Submissions/Topic
Regulation of Financial Institutions	Chapter 13	Online Tutorial Activities from 10 am Thursday to 10 pm Saturday Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

**Review/Exam Week - 14 Oct 2019**

Module/Topic	Chapter	Events and Submissions/Topic
Revision		

**Exam Week - 21 Oct 2019**

Module/Topic	Chapter	Events and Submissions/Topic

**Term Specific Information**

## Assessment Tasks

### 1 Portfolio of Tutorial Activities

#### Assessment Type

On-campus Activity

#### Task Description

To demonstrate your progressive understanding of the operations, structure and sustainability of the financial system and financial markets, you are required to complete a portfolio of 3 x online tutorial activities.

The 3 x online activities are scheduled 3 times during the term.

- Activity 1: Available 10 am Thursday to 10 pm Saturday of Week 4 covering material from Weeks 1 and 4.
- Activity 2: Available 10 am Thursday to 10 pm Saturday of Week 8 covering material from Weeks 5 and 8.
- Activity 3: Available 10 am Thursday to 10 pm Saturday of Week 12 covering material from Weeks 9 and 12.

Specific dates, details and access instructions will be available on Moodle under ASSESSMENTS.

The activity sessions will be open for 36 hours and you will have a limited [but comfortable] time to complete the activities.

The activities will include multiple choice, calculation and reading comprehension questions. The questions will draw from the prescribed reading [detailed in the weekly study guides] and tutorial exercises explored each week [Note: For on-campus students, the tutorial exercises referred to are those explored in class. For online students the tutorial exercises referred to are those explored and discussed during the weekly webinars.]

#### Assessment Due Date

Available from 10 am Thursday to 10 pm Saturday of Weeks 4, 8 and 12.

#### Return Date to Students

Feedback regarding incorrect answers will be available after each activity has been closed.

#### Weighting

20%

#### Assessment Criteria

Marking Criteria provided under ASSESSMENTS on Moodle

#### Referencing Style

- [American Psychological Association 6th Edition \(APA 6th edition\)](#)

#### Submission

Online

#### Submission Instructions

Access to activities is from 10 am Thursday to 10 pm Saturday

#### Learning Outcomes Assessed

- Examine the operations and structure of the financial system
- Evaluate the opportunities and risks inherent in financial markets for the investor and the corporation using basic financial mathematics
- Research contemporary issues impacting on investment decisions and the sustainability of financial markets.

#### Graduate Attributes

- Knowledge
- Communication
- Self-management

### 2 PRESENTATION: CONTEMPORARY AND TOPICAL ISSUES

#### Assessment Type

Presentation

#### Task Description

This is a **GROUP ASSIGNMENT**.

- **For online students**, you have the option of working as a group of one or two [if practical].

- **For on-campus students**, this is a group assignment and you will work as a group of two.

Specific requirements, tasks and submission details will be provided in ASSESSMENTS on Moodle.

In summary, this assignment has 2 components - oral (10 minutes) and a written report (2000 words max).

- The oral component is a presentation of 10 minutes duration.
- The written component are submission items such as a written report (2000 words max), PowerPoint slides and presentation handouts.

You will be allocated a topic and date for your presentation [available in week 1]. The topics will be selected from contemporary and topical issues covered in weeks 3 - 10.

- **For on-line students** you will present during the weekly online webinars [or by arrangement using a YouTube link]. If practical you may also consider working in groups of 2.
- **For on-campus students** oral component requires a 10 minute in-class presentation and you will work in groups of 2.

A range of resources, including exemplars of presentations given by former students are available on Moodle under ASSESSMENTS.

### **Assessment Due Date**

Presentations will be held from week 4 to 10. Schedule of allocated topics and presentation date available on Moodle under ASSESSMENTS.

### **Return Date to Students**

Feedback will be posted on Gradebook within 7 days from the date of presentation.

### **Weighting**

40%

### **Assessment Criteria**

A detailed marking guide and rubric is available on Moodle under ASSESSMENTS. In summary you will be graded on:

- quality of presentation and content
- effective use of powerpoint slides
- ability to engage the audience
- a coherent written report

### **Referencing Style**

- [American Psychological Association 6th Edition \(APA 6th edition\)](#)

### **Submission**

Online

### **Submission Instructions**

Written component to be submitted online and via Moodle no less than 2 hours prior to presentation time. Only 1 person in the group makes the submission. On-campus students will conduct oral presentation during class on allocated date. Online students will conduct oral presentation during webinars on allocated date. Refer Schedule of topics and allocated presentation dates.

### **Learning Outcomes Assessed**

- Examine the operations and structure of the financial system
- Evaluate the opportunities and risks inherent in financial markets for the investor and the corporation using basic financial mathematics
- Research contemporary issues impacting on investment decisions and the sustainability of financial markets.

### **Graduate Attributes**

- Knowledge
- Communication
- Self-management

## **3 RESEARCH REPORT: FINANCIAL MARKETS**

### **Assessment Type**

Research Assignment

## Task Description

This is a **GROUP ASSIGNMENT**.

- **For online students**, you have the option of working as a group of one or two [if practical].
- **For on-campus students**, this is a group assignment and you will work as a group of two.

Full details of the task and submission details related to this assignment will be available on Moodle under ASSESSMENTS.

In summary, the assignment centers on the concepts of Capital Market and Information Efficiency which are introduced in Week 1 and Chapter 1 of your prescribed text. Your task is to plan and research the impact of changes in financial markets resulting from movements in stock market indexes.

To stimulate your thinking, links to 5 news broadcasts are provided in the assignment instructions. The broadcasts cover real-world events that have triggered changes to share prices in one market, such as the US share market and show how that change influenced a shift in the share prices of another market, such as the Australian share market.

- Word limit: 2,000 words and your attention to referencing and well organised reporting is expected.
- ASSESSMENT resources including Report Writing guidance and an APA Referencing guide are available on Moodle under ASSESSMENTS

## Assessment Due Date

Week 9 Friday (20 Sept 2019) 11:45 pm AEST

Week 9 Friday (20 September 2019) due time is 11:00 pm AEST

## Return Date to Students

Feedback and marked rubric will be posted in Gradebook

## Weighting

40%

## Assessment Criteria

A detailed marking criteria and rubric is available on Moodle under ASSESSMENTS. In summary, marks will be based on the quality and attention given to:

- presentation and organisation of the report
- development of a persuasive argument
- use of effective reference material to support the argument
- in-text referencing and accurate formatting of reference list

## Referencing Style

- [American Psychological Association 6th Edition \(APA 6th edition\)](#)

## Submission

Online

## Submission Instructions

Submission is online and via Moodle. Only 1 person in the group submits the assignment. Your submission must be in WORD doc. PDFs will not be accepted. Other important detail regarding submission is covered in the assignment instructions on Moodle under ASSESSMENTS.

## Learning Outcomes Assessed

- Examine the operations and structure of the financial system
- Evaluate the opportunities and risks inherent in financial markets for the investor and the corporation using basic financial mathematics
- Research contemporary issues impacting on investment decisions and the sustainability of financial markets.

## Graduate Attributes

- Knowledge
- Communication
- Self-management

## Academic Integrity Statement

As a CQUniversity student you are expected to act honestly in all aspects of your academic work.

Any assessable work undertaken or submitted for review or assessment must be your own work. Assessable work is any type of work you do to meet the assessment requirements in the unit, including draft work submitted for review and feedback and final work to be assessed.

When you use the ideas, words or data of others in your assessment, you must thoroughly and clearly acknowledge the source of this information by using the correct referencing style for your unit. Using others' work without proper acknowledgement may be considered a form of intellectual dishonesty.

Participating honestly, respectfully, responsibly, and fairly in your university study ensures the CQUniversity qualification you earn will be valued as a true indication of your individual academic achievement and will continue to receive the respect and recognition it deserves.

As a student, you are responsible for reading and following CQUniversity's policies, including the [Student Academic Integrity Policy and Procedure](#). This policy sets out CQUniversity's expectations of you to act with integrity, examples of academic integrity breaches to avoid, the processes used to address alleged breaches of academic integrity, and potential penalties.

### What is a breach of academic integrity?

A breach of academic integrity includes but is not limited to plagiarism, self-plagiarism, collusion, cheating, contract cheating, and academic misconduct. The Student Academic Integrity Policy and Procedure defines what these terms mean and gives examples.

### Why is academic integrity important?

A breach of academic integrity may result in one or more penalties, including suspension or even expulsion from the University. It can also have negative implications for student visas and future enrolment at CQUniversity or elsewhere. Students who engage in contract cheating also risk being blackmailed by contract cheating services.

### Where can I get assistance?

For academic advice and guidance, the [Academic Learning Centre \(ALC\)](#) can support you in becoming confident in completing assessments with integrity and of high standard.

### What can you do to act with integrity?



#### Be Honest

If your assessment task is done by someone else, it would be dishonest of you to claim it as your own



#### Seek Help

If you are not sure about how to cite or reference in essays, reports etc, then seek help from your lecturer, the library or the Academic Learning Centre (ALC)



#### Produce Original Work

Originality comes from your ability to read widely, think critically, and apply your gained knowledge to address a question or problem