



FINC20019 Money and Capital Market Analysis

Term 1 - 2020

Profile information current as at 03/05/2024 06:29 pm

All details in this unit profile for FINC20019 have been officially approved by CQUniversity and represent a learning partnership between the University and you (our student). The information will not be changed unless absolutely necessary and any change will be clearly indicated by an approved correction included in the profile.

General Information

Overview

This unit covers the operations and structure of the modern financial system. You will examine and evaluate the opportunities and risks of the financial markets for investors and corporations. You will also research the impact of contemporary issues in the money and capital markets.

Details

Career Level: *Postgraduate*

Unit Level: *Level 9*

Credit Points: 6

Student Contribution Band: 10

Fraction of Full-Time Student Load: 0.125

Pre-requisites or Co-requisites

There are no requisites for this unit.

Important note: Students enrolled in a subsequent unit who failed their pre-requisite unit, should drop the subsequent unit before the census date or within 10 working days of Fail grade notification. Students who do not drop the unit in this timeframe cannot later drop the unit without academic and financial liability. See details in the [Assessment Policy and Procedure \(Higher Education Coursework\)](#).

Offerings For Term 1 - 2020

- Brisbane
- Melbourne
- Online
- Sydney

Attendance Requirements

All on-campus students are expected to attend scheduled classes – in some units, these classes are identified as a mandatory (pass/fail) component and attendance is compulsory. International students, on a student visa, must maintain a full time study load and meet both attendance and academic progress requirements in each study period (satisfactory attendance for International students is defined as maintaining at least an 80% attendance record).

Website

[This unit has a website, within the Moodle system, which is available two weeks before the start of term. It is important that you visit your Moodle site throughout the term. Please visit Moodle for more information.](#)

Class and Assessment Overview

Recommended Student Time Commitment

Each 6-credit Postgraduate unit at CQUniversity requires an overall time commitment of an average of 12.5 hours of study per week, making a total of 150 hours for the unit.

Class Timetable

[Regional Campuses](#)

Bundaberg, Cairns, Emerald, Gladstone, Mackay, Rockhampton, Townsville

[Metropolitan Campuses](#)

Adelaide, Brisbane, Melbourne, Perth, Sydney

Assessment Overview

1. **On-campus Activity**

Weighting: 20%

2. **Research Assignment**

Weighting: 40%

3. **Presentation**

Weighting: 40%

Assessment Grading

This is a graded unit: your overall grade will be calculated from the marks or grades for each assessment task, based on the relative weightings shown in the table above. You must obtain an overall mark for the unit of at least 50%, or an overall grade of 'pass' in order to pass the unit. If any 'pass/fail' tasks are shown in the table above they must also be completed successfully ('pass' grade). You must also meet any minimum mark requirements specified for a particular assessment task, as detailed in the 'assessment task' section (note that in some instances, the minimum mark for a task may be greater than 50%). Consult the [University's Grades and Results Policy](#) for more details of interim results and final grades.

CQUniversity Policies

All University policies are available on the [CQUniversity Policy site](#).

You may wish to view these policies:

- Grades and Results Policy
- Assessment Policy and Procedure (Higher Education Coursework)
- Review of Grade Procedure
- Student Academic Integrity Policy and Procedure
- Monitoring Academic Progress (MAP) Policy and Procedure – Domestic Students
- Monitoring Academic Progress (MAP) Policy and Procedure – International Students
- Student Refund and Credit Balance Policy and Procedure
- Student Feedback – Compliments and Complaints Policy and Procedure
- Information and Communications Technology Acceptable Use Policy and Procedure

This list is not an exhaustive list of all University policies. The full list of University policies are available on the [CQUniversity Policy site](#).

Previous Student Feedback

Feedback, Recommendations and Responses

Every unit is reviewed for enhancement each year. At the most recent review, the following staff and student feedback items were identified and recommendations were made.

Feedback from Evaluation

Feedback

Need to put more information in assignment resources

Recommendation

Assignment Resources will be reviewed and more content added.

Feedback from Evaluation

Feedback

Timing of webinar too late

Recommendation

Earlier times will be scheduled.

Unit Learning Outcomes

On successful completion of this unit, you will be able to:

1. Examine the operations and structure of the financial system
2. Evaluate the opportunities and risks inherent in financial markets for the investor and the corporation using basic financial mathematics
3. Research contemporary issues impacting on investment decisions and the sustainability of financial markets.

nil

Alignment of Learning Outcomes, Assessment and Graduate Attributes



Alignment of Assessment Tasks to Learning Outcomes

Assessment Tasks	Learning Outcomes		
	1	2	3
1 - On-campus Activity - 20%	•	•	•
2 - Research Assignment - 40%	•	•	•
3 - Presentation - 40%	•	•	•

Alignment of Graduate Attributes to Learning Outcomes

Graduate Attributes	Learning Outcomes		
	1	2	3

Graduate Attributes	Learning Outcomes		
	1	2	3
1 - Knowledge	o	o	o
2 - Communication	o	o	o
3 - Cognitive, technical and creative skills		o	
4 - Research			
5 - Self-management	o	o	o
6 - Ethical and Professional Responsibility			
7 - Leadership			
8 - Aboriginal and Torres Strait Islander Cultures			

Alignment of Assessment Tasks to Graduate Attributes

Assessment Tasks	Graduate Attributes							
	1	2	3	4	5	6	7	8
1 - On-campus Activity - 20%	o	o			o			
2 - Research Assignment - 40%	o	o			o			
3 - Presentation - 40%	o	o			o			

Textbooks and Resources

Textbooks

FINC20019

Prescribed

Financial Markets, Institutions and Money

Edition: Fourth (2019)

Authors: Kidwell, Brimble, Docherty, Mazzola, Basu

John Wiley & Sons Australia, Ltd.

Brisbane, Queensland, Australia

ISBN: 9780730363521

Binding: Hardcover

Additional Textbook Information

This hardcopy version has an interactive ebook code supplied with the text. This means students can have both a hardcopy and access an e-version.

Paper copies can be purchased from the CQUni Bookshop here: <http://bookshop.cqu.edu.au> (search on the Unit code)

[View textbooks at the CQUniversity Bookshop](#)

IT Resources

You will need access to the following IT resources:

- CQUniversity Student Email
- Internet
- Unit Website (Moodle)

Referencing Style

All submissions for this unit must use the referencing style: [American Psychological Association 6th Edition \(APA 6th edition\)](#)

For further information, see the Assessment Tasks.

Teaching Contacts

Angelique McInnes Unit Coordinator

a.mcinnnes@cqu.edu.au

Schedule

Week 1 - 09 Mar 2020

Module/Topic	Chapter	Events and Submissions/Topic
Overview of Modern Financial System	Chapters 1 and 2	Refer to Weekly Study Guide for Reading List, Application Questions and Preparation for the Webinar

Week 2 - 16 Mar 2020

Module/Topic	Chapter	Events and Submissions/Topic
Monetary Authorities	Chapters 3 and 4	Refer to Weekly Study Guide for Reading List and Tutorial Questions

Week 3 - 23 Mar 2020

Module/Topic	Chapter	Events and Submissions/Topic
RBA and Interest Rates	Chapters 4, 6 and 7	Refer to Weekly Study Guide for Reading List and Tutorial Questions

Week 4 - 30 Mar 2020

Module/Topic	Chapter	Events and Submissions/Topic
Financial Mathematics for Money and Capital Markets	Chapter 5	1. Refer to Weekly Study Guide for Reading List and Tutorial Questions 2. 10 Min Presentations commence this week [Refer ASSESSMENT Section in Moodle for the Schedule of Allocated Topics] 3. Activity 1 Due: Online Quiz available form 10am Thursday until 10pm Saturday

Week 5 - 06 Apr 2020

Module/Topic	Chapter	Events and Submissions/Topic
Money and Capital Markets	Chapters 8 and 9	1. Refer to Weekly Study Guide for Reading List and Tutorial Questions 2. 10 Min Presentations [Refer ASSESSMENT Section in Moodle for the Schedule of Allocated Topics]

Vacation Week - 13 Apr 2020

Module/Topic	Chapter	Events and Submissions/Topic
Term Break	Term Break	Term Break

Week 6 - 20 Apr 2020

Module/Topic	Chapter	Events and Submissions/Topic
Equity and Derivatives	Chapters 10 and 11	1. Refer to Weekly Study Guide for Reading List and Tutorial Questions 2. 10 Min Presentations [Refer ASSESSMENT Section in Moodle for the Schedule of Allocated Topics]

Week 7 - 27 Apr 2020

Module/Topic	Chapter	Events and Submissions/Topic
Foreign Exchange Market: Structure and Operations	Chapter 12	1. Refer to Weekly Study Guide for Reading List and Tutorial Questions 2. 10 Min Presentations [Refer ASSESSMENT Section in Moodle for the Schedule of Allocated Topics] 3. Activity 2 Due: Online Quiz available form 10am Thursday until 10pm Saturday

Week 8 - 04 May 2020

Module/Topic	Chapter	Events and Submissions/Topic
Commercial and Non-financial Institutions	Chapters 14 and 15	1. Refer to Weekly Study Guide for Reading List and Tutorial Questions 2. 10 Min Presentations [Refer ASSESSMENT Section in Moodle for the Schedule of Allocated Topics]

Week 9 - 11 May 2020

Module/Topic	Chapter	Events and Submissions/Topic
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Investment Banking and Venture Capital

Chapter 18

1. Refer to Weekly Study Guide for Reading List and Tutorial Questions
2. 10 Min Presentations [Refer ASSESSMENT Section in Moodle for the Schedule of Allocated Topics]
3. **Activity 3 Due: Online Quiz available from 10am Thursday until 10pm Saturday**

RESEARCH REPORT: FINANCIAL MARKETS Due: Week 9 Monday (11 May 2020) 3:00 pm AEST

Week 10 - 18 May 2020

Module/Topic	Chapter	Events and Submissions/Topic
International Banking	Chapter 16	<ol style="list-style-type: none">1. Refer to Weekly Study Guide for Reading List and Tutorial Questions2. 10 Min Presentations [Refer ASSESSMENT Section in Moodle for the Schedule of Allocated Topics]

Week 11 - 25 May 2020

Module/Topic	Chapter	Events and Submissions/Topic
Insurance, Investment Companies and Superannuation Funds	Chapter 17	Refer to Weekly Study Guide for Reading List and Tutorial Questions

Week 12 - 01 Jun 2020

Module/Topic	Chapter	Events and Submissions/Topic
Regulation of Financial Institutions	Chapter 13	<ol style="list-style-type: none">1. Refer to Weekly Study Guide for Reading List and Tutorial Questions2. Activity 4 Due: Online Quiz available from 10am Thursday until 10pm Saturday

Review/Exam Week - 08 Jun 2020

Module/Topic	Chapter	Events and Submissions/Topic
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Exam Week - 15 Jun 2020

Module/Topic	Chapter	Events and Submissions/Topic
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Assessment Tasks

1 Portfolio of Tutorial Activities

Assessment Type

On-campus Activity

Task Description

This is an individual assignment.

Comprehensive guidelines, submission instructions, resources and marking guide will be available on Moodle in the ASSESSMENT Section.

There are 4 Activities. Each Activity is worth 5 marks and includes comprehension questions, calculations and research activities. The purpose of the activities is to:

- build your skills and knowledge progressively
- ensure you have a strong understanding of money and capital markets as well as the operational aspects of financial institutions and investment instruments
- establish specific competencies that will help you with Assessment Item 2: Research Report Financial Markets

Hard copies of the Activity questions will be available in ASSESSMENT Section of Moodle.

Submissions are due in Weeks 4, 7, 9 and 12 and will take the form of an Online Quiz.

- Activity 1 covers material from Weeks 1,2 and 3 - online access available between 10am Thursday and 10pm Saturday in Week 4
- Activity 2 covers material from Weeks 4,5 and 6 - online access available between 10am Thursday and 10pm Saturday in Week 7
- Activity 3 covers material from Weeks 7,8 and 9 - online access available between 10am Thursday and 10pm Saturday in Week 9
- Activity 4 covers material from Weeks 10, 11 and 12 - online access available between 10am Thursday and 10pm Saturday in Week 12

IMPORTANT: Due to the progressive nature of this assignment any requests for extensions are likely to be denied.

Assessment Due Date

Available from 10 am Thursday to 10 pm Saturday of Weeks 4, 7, 9 and 12.

Return Date to Students

Feedback regarding incorrect answers will be available after each activity has been closed.

Weighting

20%

Assessment Criteria

Marking Criteria provided under ASSESSMENTS on Moodle

Referencing Style

- [American Psychological Association 6th Edition \(APA 6th edition\)](#)

Submission

Online

Submission Instructions

Activity questions available in ASSESSMENTS on Moodle. Access to online activities is from 10 am Thursday to 10 pm Saturday in weeks 4,7,9 and 12

Learning Outcomes Assessed

- Examine the operations and structure of the financial system
- Evaluate the opportunities and risks inherent in financial markets for the investor and the corporation using basic financial mathematics
- Research contemporary issues impacting on investment decisions and the sustainability of financial markets.

Graduate Attributes

- Knowledge
- Communication
- Self-management

2 RESEARCH REPORT: FINANCIAL MARKETS

Assessment Type

Research Assignment

Task Description

This is a **GROUP ASSIGNMENT**.

- **For online students**, you have the option of working as a group of one or two [if practical].
- **For on-campus students**, this is a group assignment and you will work as a group of two.

Full details of the research question, expectations and submission details related to this assignment will be available on Moodle under ASSESSMENTS.

In summary, you will demonstrate your ability to research a contemporary issue impacting on investment decisions and the sustainability of financial markets by:

- reviewing a range of literature
- documenting your findings
- drawing conclusions about the issues and impact on investors
- accurately referencing the source literature using the APA reference format

IMPORTANT POINTS

- Word limit: 2,000 words
- Refer to the Marking Criteria to understand how you can maximise your grade
- Ask for help well ahead of the due date
- Attention to proper referencing and well organised reporting is critical.
- ASSESSMENT resources in Moodle include Report Writing guidance and an APA Referencing guide

Assessment Due Date

Week 9 Monday (11 May 2020) 3:00 pm AEST

Return Date to Students

Week 10 Friday (22 May 2020)

Feedback and marked rubric will be posted in Gradebook

Weighting

40%

Assessment Criteria

A detailed marking criteria and rubric is available on Moodle under ASSESSMENTS. In summary, marks will be based on the quality and attention given to:

- presentation and organisation of the report
- development of a persuasive argument
- use of effective reference material to support the argument
- in-text referencing and accurate formatting of reference list

Referencing Style

- [American Psychological Association 6th Edition \(APA 6th edition\)](#)

Submission

Online

Submission Instructions

Submission is online and via Moodle. Only 1 person in the group submits the assignment. Your submission must be in WORD doc. PDFs will not be accepted. Other important detail regarding submission is covered in the assignment instructions on Moodle under ASSESSMENTS.

Learning Outcomes Assessed

- Examine the operations and structure of the financial system
- Evaluate the opportunities and risks inherent in financial markets for the investor and the corporation using basic financial mathematics
- Research contemporary issues impacting on investment decisions and the sustainability of financial markets.

Graduate Attributes

- Knowledge
- Communication
- Self-management

3 PRESENTATION: CONTEMPORARY AND TOPICAL ISSUES

Assessment Type

Presentation

Task Description

This is a **GROUP ASSIGNMENT**.

- **For online students**, you have the option of working as a group of one or two [if practical].
- **For on-campus students**, this is a group assignment and you will work as a group of two.

Specific requirements, tasks and submission details will be provided in ASSESSMENTS on Moodle.

In summary, this assignment has 2 components - oral presentation (10 minutes) and written..

- The oral component is a presentation of 10 minutes duration.
- The written component includes: 1) PowerPoint slides and will be limited to 10 slides [1 x combined title and introduction, 8 slides for main content and 1 x conclusion] PLUS 2) Audience handouts made up of 2 x A4 sheets and both sides can be used - such as infographics.

You will be allocated a topic and date for your presentation [available in week 1]. The topics will be selected from contemporary and topical issues covered in weeks 3 - 10.

A range of resources, including exemplars of presentations given by former students are available on Moodle under ASSESSMENTS.

Assessment Due Date

Presentations will be held from week 4 to 10. Schedule of allocated topics and presentation date available on Moodle under ASSESSMENTS.

Return Date to Students

Feedback will be posted on Gradebook within 7 days from the date of presentation.

Weighting

40%

Assessment Criteria

A detailed marking guide and rubric is available on Moodle under ASSESSMENTS. In summary you will be graded on:

- quality of presentation and content
- effective use of powerpoint slides
- ability to engage the audience
- useful and well presented audience handouts

Referencing Style

- [American Psychological Association 6th Edition \(APA 6th edition\)](#)

Submission

Online

Submission Instructions

Written component to be submitted online and via Moodle no less than 2 hours prior to presentation time. Only 1 person in the group makes the submission. On-campus students will conduct oral presentation during class on allocated date. Online students will conduct oral presentation during webinars on allocated date. Refer Schedule of topics and allocated presentation dates.

Learning Outcomes Assessed

- Examine the operations and structure of the financial system
- Evaluate the opportunities and risks inherent in financial markets for the investor and the corporation using basic financial mathematics
- Research contemporary issues impacting on investment decisions and the sustainability of financial markets.

Graduate Attributes

- Knowledge
- Communication
- Self-management

Academic Integrity Statement

As a CQUniversity student you are expected to act honestly in all aspects of your academic work.

Any assessable work undertaken or submitted for review or assessment must be your own work. Assessable work is any type of work you do to meet the assessment requirements in the unit, including draft work submitted for review and feedback and final work to be assessed.

When you use the ideas, words or data of others in your assessment, you must thoroughly and clearly acknowledge the source of this information by using the correct referencing style for your unit. Using others' work without proper acknowledgement may be considered a form of intellectual dishonesty.

Participating honestly, respectfully, responsibly, and fairly in your university study ensures the CQUniversity qualification you earn will be valued as a true indication of your individual academic achievement and will continue to receive the respect and recognition it deserves.

As a student, you are responsible for reading and following CQUniversity's policies, including the [Student Academic Integrity Policy and Procedure](#). This policy sets out CQUniversity's expectations of you to act with integrity, examples of academic integrity breaches to avoid, the processes used to address alleged breaches of academic integrity, and potential penalties.

What is a breach of academic integrity?

A breach of academic integrity includes but is not limited to plagiarism, self-plagiarism, collusion, cheating, contract cheating, and academic misconduct. The Student Academic Integrity Policy and Procedure defines what these terms mean and gives examples.

Why is academic integrity important?

A breach of academic integrity may result in one or more penalties, including suspension or even expulsion from the University. It can also have negative implications for student visas and future enrolment at CQUniversity or elsewhere. Students who engage in contract cheating also risk being blackmailed by contract cheating services.

Where can I get assistance?

For academic advice and guidance, the [Academic Learning Centre \(ALC\)](#) can support you in becoming confident in completing assessments with integrity and of high standard.

What can you do to act with integrity?



Be Honest

If your assessment task is done by someone else, it would be dishonest of you to claim it as your own



Seek Help

If you are not sure about how to cite or reference in essays, reports etc, then seek help from your lecturer, the library or the Academic Learning Centre (ALC)



Produce Original Work

Originality comes from your ability to read widely, think critically, and apply your gained knowledge to address a question or problem